Scrap Your Boilerplate—Prologically!

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Abstract

“Scrap Your Boilerplate” (SYB) is an established style of generic functional programming. The present paper reconstructs SYB within the Prolog language with the help of the univ operator and higher-order logic programming techniques. We pay attention to the particularities of Prolog. For instance, we deal with traversal of non-ground terms. We also develop an alternative model of SYB-like traversal based on metaprogramming. This generative, type-driven model is also amenable to type-driven optimization.

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1. Prologue

Consider the following Prolog term, which represents the organizational structure of an illustrative company in terms of hierarchical departments with managers and employees:

```prolog
company([
  topdept(name('Human Resources'),
    manager(name('Lisa'), salary(123456)), []),
  topdept(name('Development'),
    manager(name('Anders'), salary(43210)), [
    subdept(name('Visual Basic'),
      manager(name('Amanda'), salary(8888)), []),
    subdept(name('Visual C#'),
      manager(name('Erik'), salary(4444)), []))])
```

Now suppose that we need to total all salaries of the company. Also, we need to cut all salaries in half. The following Prolog session illustrates these operations:

```prolog
?- Com1 = ... the sample company ..., getSalary(Com1,S1), cutSalary(Com1,Com2), getSalary(Com2,S2).
S1 = 179998,
S2 = 89999.
```

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Fig. 1 shows the routine implementation of getSalary/2 and cutSalary/2.1 These predicates simply recurse into the terms, and they use list-processing predicates map/3 and mapreduce/5 to deal with the lists of subunits (i.e., employees vs. sub-departments) that each department can have. (We will recall such higher-order techniques in §2.) Writing such boilerplate code is laborious and error-prone—especially when the underlying data model involves yet more types and constructors.

The “Scrap Your Boilerplate” style of generic functional programming (SYB [Lämmel and Peyton Jones 2003]) and the strategic programming style (cf., Stratego [Visser et al. 1998, Bravenboer et al. 2008])2 allow us to scrap such boilerplate. We adopt SYB in this paper. (Once we abstract from the specific setups of Haskell or Stratego, once we focus on only simple traversal idioms, as we do in this paper, these two styles are not too different.)

With SYB aboard, we focus on problem-specific cases:
getSalary(salary(S1),salary(S2)) :- S2 is S1 / 2.

These cases define what to do when salary terms are hit. The rest of the traversals for totaling or cutting salaries is taken care of by traversal schemes. SYB can be done (easily) in Prolog, too:

?- company1 = ... the sample company ...
| everything(add,mkQ(0,getSalary),Com1),
| everywhere(mkT(cutSalary),Com2),
| everything(add,mkQ(0,getSalary),Com2,S2).
S1 = 179998,
S2 = 89999.

Here, everything/4 is a traversal scheme that performs a query ('a deep map-reduce'), and everywhere/3 is a traversal scheme for a transformation ('a deep map'). We instantiate everything/4 to apply add/3 (addition for numbers) for reduction and to attempt getSalary/2 at each node, but to return 0 if getSalary/2 does not fit; c.f., 'mkQ(0,getSalary)'. We instantiate everywhere/3 to attempt cutSalary/2 at each node, but to preserve the node if cutSalary/2 does not fit; c.f., 'mkT(cutSalary)'.

Road-map

The remaining sections develop SYB support for Prolog. Our development relies on higher-order logic programming techniques which we recall in §2. The development has two major parts: a 'high road' (§3) and a 'low road' (§4) reminiscent of [Naish and Sterling 2000]; the former supports SYB in terms of higher-order predicates; the latter uses generative programming (metaprogramming) instead. On the high road, we make some specific efforts to seamlessly integrate SYB with Prolog—as far as backtracking or non-ground terms are concerned. On the low road, we attempt a simple form of type-driven traversal optimization. The paper is concluded in §5.

2. Higher-order logic programming primer

We recall higher-order logic programming in Prolog [Warren 1982, Cheng et al. 1990, Nilsson and Hamfelt 1995, Naish 1996, Naish and Sterling 2000].3 We begin with a regular (first-order) goal that calls a predicate add/3 right away. Thus:

?- add(23,19,X).
X = 42.

In this paper, we usually classify positions of predicates (rather implicitly) to serve for arguments or results. add/3 has two arguments and one result. By convention, arguments come first, while results (if any) go last. Obviously, some predicates may be flexible enough to be used in multiple directions—thereby blurring the separation of arguments and results. For instance, add/3 could be defined such that it can do subtraction, too. Such different directions will not be relevant (say, exploited) for predicates defined in this paper (except for a short discussion in §3.6).

We can use terms to represent goals—applications of predicate symbols in particular. Hence, we can use term variables to abstract over such applications. We can 'call' (say, 'execute' or 'prove') such terms. Thus:

?- Term = add(23,19,Result), call(Term).
Term = add(23,19,Result),
Result = 42.

3In this paper, we limit ourselves to plain Prolog; we do not leverage hybrid forms of functional/logic programming languages, such as Lambda-PROLOG [Nadathur and Miller 1988] or Curry [Hanus 1997]. We do not use any form of static typing either. In particular, we do not exploit any directional types (modi) or algebraic types [Mycroft and O’Keefe 1984, Boye and Maluszynski 1997].

4In this paper, we generally apply the convention that a predicate symbol ends on one or many underscore characters to mean that it is a helper of another predicate. We should prefer the use of binding blocks, say closures.

Figure 2. Higher-order list-processing predicates

(call(Term) may also just be written as Term.) Now it should be obvious that we can represent incomplete applications of predicate symbols as terms, too—because we can always append positions to a term and call it eventually. Let us assume a helper predicate pass/3 to this end. Thus:

?- Inc = add(1), pass(Inc,[41,Result],Goal), Goal = add(1, 41, 42).
Result = 42.

The composition of pass/3 and call/1 is called apply/2:

?- Inc = add(1), apply(Inc,[41,Result]), Result = 42.

This is the fundamental functionality for higher-order logic programming. Here we note that pass/3 and apply/2 can be defined in terms =../2 and call/1:

pass(T1,Xs,T2) :- T1 =.. [C|Ts1], append(Ts1,Xs,Ts2), T2 =.. [C|Ts2].
apply(T1,Xs) :-
pass(T1,Xs,T2), T2.

The definition of the argument-passing predicate uses Prolog’s unin operator (c.f., ‘..’) to obtain access to subterms of a term (here: the positions of an incomplete application of a predicate symbol). Conceptually, the unin operator models a relation between a term, its functor and its immediate subterms.

On top of apply/2 and friends, Fig. 2 defines fundamental higher-order predicates for list processing: map(P,Xs) applies predicate P to each element of Xs; map(F,Xs,Ys) applies F to each element of Xs and collects the results in Ys; foldl(F,Z,Xs,Ys) folds over Xs in a left-associative manner while starting from Z and combining intermediate results by F; foldr/4 is the right-associative companion of foldl/4; filter(F,Xs,Ys) obtains the subsequence Ys from Xs with all the elements that satisfy F; mapreduce(F,G,Z,X,Y) composes mapping and reduction (folding).
As an illustration, the following sessions starts from the list \([1,2,3,4]\): a filter for odd numbers is applied; the remaining numbers are incremented by 1; finally, the list is totaled. Thus:

```prolog
?- L1 = [1,2,3,4],
   filter(odd,L1,L2),
   map(inc,L2,L3),
   foldr(add,0,L3,R).
L1 = [1, 2, 3, 4],
L2 = [1, 3],
L3 = [2, 4],
R = 6.
```

3. The high road to SYB

We define SYB’s basic combinators for one-layer traversal and common traversal schemes. We discuss challenges related to backtracking and non-ground terms.

3.1 Generic traversal

SYB (and strategic programming for that matter) is based on a few one-layer traversal combinators from which recursive traversals and traversal schemes can be derived. By one-layer traversal we mean that an argument function (predicate) is applied only to the immediate subterms of a given term. The most important one-layer traversal combinators are \texttt{gmapT/3} for transformations (c.f., \texttt{T}) and \texttt{gmapQ/3} for list-producing queries (c.f., \texttt{Q}). For instance, we can define the traversal schemes \texttt{everywhere/3} and \texttt{everything/4} as follows:

```prolog
everywhere(T,X,Y) :-
gmapT(everywhere(T),X,Y),
apply(T,[X,Y]).
everything(F,Q,X,Y) :-
gmapQ(everything(F,Q),X,Y),
apply(Q,[X,Y]),
foldl(F,R,Y,Z).
```

Prolog is particularly suited to express a reference semantics for one-layer traversal combinators—as long as we do not expect to obtain a statically typed model easily. Both combinators take apart gratuitous terms by the \texttt{univ} operator and process the immediate subterms by a plain list map:

```prolog
gmapT(T,X,Y) :-
X =.. [C|Kids1],
map(T,Kids1,Kids2),
Y =.. [C|Kids2].
gmapQ(Q,X,Y) :-
X =.. [C|Kids],
map(Q,Kids,Y).
gmapT/3 recomposes a term with the original constructor whereas \texttt{gmapQ/3} simply returns a list of intermediate results. In the definitions, \texttt{univ} deserves all the credit. Colloquially speaking, =../2 embodies Prolog’s reflection API. (For comparison, think of Java’s \texttt{java.lang.reflect} package.)
```

3.2 Traversal customization

In the introductory example of §1, we used \texttt{mkT/3} and \texttt{mkQ/4} to construct appropriate arguments for the traversal schemes as a means to customize the ultimate traversal. The idea is that the problem-specific parts of a traversal typically are limited to specific types (such as salaries in the running example), but these parts need to be generalized (‘made generic’) before they can be used by a traversal scheme. Hence, \texttt{mkT/3} and \texttt{mkQ/4} compose a type-specific predicate with a generic default.

In Haskell, this process is truly type-driven, whereas in Prolog, the process is success/failure-driven (just like in strategic programming with Stratego). A common default for transformations is the identity function, i.e., the argument term is returned unchanged. A common default for queries is a constant function, i.e., a designated ‘zero’ (algebraically speaking) is returned. Thus:

```prolog
mkT(T,X,Y) :- apply(T,[X,Y]) -> true; Y = X.
mkQ(R,Q,X,Y) :- apply(Q,[X,Y]) -> true; Y = R.
```

The use of ‘if-then-else’ (c.f., ‘->’) expresses that a type-specific case is attempted first, and only if it fails, the generic default applies. Arguably, this style of composition makes it difficult to separate failure due to inapplicability vs. failure in the sense of a missing pre-/post-condition somewhere along the execution of the type-specific case. We can easily provide variations that carry an extra argument \texttt{AC} (for applicability condition) to ‘unbacktrackably’ commit to the type-specific case on the grounds of that condition.

```prolog
mkT(AC,T,X,Y) :- apply(AC,[X]) -> apply(T,[X,Y]); Y = X.
mkQ(AC,R,Q,X,Y) :- apply(AC,[X]) -> apply(Q,[X,Y]); Y = R.
```

The applicability condition could be a type test. For instance, we could test for the presence of a salary, but let the type-specific case fail if we find a salary that is too small to be cut any further. The applicability condition and the type-specific case are to be instantiated as follows:

```prolog
isSalary(salary(_)).
cutSalary(salary(S1),salary(S2)) :- S1 > 1, S2 is S1 / 2.
```

3.3 Backtracking traversal

In our experience, the use of backtracking in generic traversal seems to be very limited (say, local), but let us look into the feature interaction between SYB and general backtracking anyway. Suppose, we are interested in all possible combinations of \texttt{optionally} cutting salaries. Our sample company involves 4 salaries. Hence, we should find 16 different result companies. We may use the following type-specific case.

```prolog
cutSalary(salary(S1),salary(S2)) :- S2 is S1 / 2.
cutSalary(salary(S),salary(S)) :-.
```

If we apply \texttt{everywhere/3} and if we now tried to find all solutions, no backtracking would be noticeable. (There is one solution.) This deterministic semantics is a result of using \texttt{->/2} in \texttt{mkT/2}. The non-determinism of the condition is cut off. The applicability condition-based variations of the \texttt{mk} predicates can be fruitfully used here. We commit to the type-specific case first and then allow for backtracking within that case. Now we count 16 solutions.

```prolog
?- Com1 = ... the sample company ...
   | findall( |
   | Com2,
   |   everywhere(mkT(isSalary,cutSalary),Com1,Com2),
   |   Companies),
   | length(Companies,Len).
Len = 16.
```

3.4 SYB’s headache combinator

One of the mind-boggling SYB results is that apparently any thinkable basic traversal combinator (that processes the immediate subterms of a term) can be expressed in terms of a cunning fold operator \texttt{gfoldl} on terms. In pseudo-code (and functional style), an application of \texttt{gfoldl} to a term \texttt{c(t1,...,tn)} would be expanded as follows:

```prolog
| everything(F,Q,X,Y) :-
| foldl(F,R,Y,Z).
```

```prolog
gmapQ(everything(F,Q),X,Y),
apply(Q,[X,Y]),
foldl(F,R,Y,Z).
```

```prolog
gmapT(everywhere(T),X,Y),
apply(T,[X,Y]).
```

```prolog
gmapQ(everything(F,Q),X,Y),
apply(Q,[X,Y]),
foldl(F,R,Y,Z).
```

```prolog
mkT(T,X,Y) :- apply(T,[X,Y]) -> true; Y = X.
```
In Haskell's SYB implementation of the Glasgow Haskell Compiler (GHC), `gfoldl1` is supported by 'code generation'. All other one-layer traversal combinators (such as `gmapT` and `gmapQ`) are defined in terms of `gfoldl1`. Let us look at the Haskell type of `gfoldl1`:

```
gfoldl1 :: (Data a) 
  -> (forall d b. Data d => c (d -> b) -> d -> c b) 
  -> (forall g. g -> c g) 
  -> a -> c a
```

The arguments are similar to those of `foldl/4` for lists, i.e., the first argument models a binary operator to combine an intermediate result and another element (i.e., a subterm of type `d`); the second argument models a unary operator to be applied to the mere term constructor so that an initial value is obtained for folding. The `gmapQ/3` is a free variable-aware variation on the standard `gmapQ/3`. However, the current definition of `gmapQ/3` (which is used by `gfoldl`) does not cover this case among the cases the `gmapQ` tries to deconstruct terms, and its application of the `univ` operator will abort execution when facing a free variable. This is easy to resolve though; there is no real choice here, in fact: we have to view free variables as constant terms that cannot be visited any further by one-layer traversal combinators. We improve `gmapQ` as of §3.1 accordingly.

```
gmapQ(Q,X,Y) :- 
  var(X) -> Y = []; ( X =. [_,_], map(Q,Kids,Y) ).
```

Here we use Prolog's `meta-predicate var/1` for testing a term to be a free variable. Traversal schemes such as `everything` immediately benefit from the generalization of `gmapQ`. The scheme for collecting free variables can now be defined as follows:

```
freevars(X,Y) :- 
  everything( 
    varunion, 
    mkQ([], guard(var,singleton)), 
    X,Y).
```

These helpers are used: `singleton/2` returns an argument term as a singleton list, `guard/4` guards a unary function by a predicate (i.e., the function is applied only if the predicate succeeds). `varunion/3` takes the left-biased union of two lists that are supposed to be sets of free variables. (This is implemented very inefficiently below. An accumulator should be used instead.) `varmember/2` is a free variable-aware variation on the standard `member/2` predicate.

```
singleton(X,[X]).

guard(P,F,X,Y) :- apply(P,[X]), apply(F,[X,Y]).

varunion(X,[],X).

varunion(X0,[H|T],Y) :- 
  ( varmember(H,X0) -> varunion(X0,T,Y) 
    ; ( append(X0,[H|X1], varunion(X1,T,Y) ) )
 ).

varmember(E,[H|T]) :- 
  var(E), var(H), ( E == H; varmember(E,T) ).
```

### 3.6 Backward traversal

We can also further generalize traversal to deal with backward traversal by which we mean that the argument position is not bound while the result position is bound instead. In fact, we only know of a useful definition of backward *transformation* (as opposed to backward query). Consider the following candidate for a traversal parameter:

```
incSalary(salary(S1),salary(S2)) :- add(S1,1,S2).
```

Now consider a goal `everything(mkT(incSalary),X,Y)`. If `X` is bound (to, say, a ground company term) before the traversal's execution, then the regular (forward) model of traversal applies. Hence, `Y` will be bound to a company term with `incremented salaries` after execution. If instead `X` is free and `Y` is bound before execution, then backward transformation would mean that `X` should be bound to a term with `decremented salaries` after execution. This semantics can be achieved; see the code distribution. Both `gmapT/3` and `everywhere/3` (and `add/3`) have to be made aware of directions to this end.

### 4. The low road to SYB

Given Prolog's ease with metaprogramming, we should also walk the low road of SYB where higher-order traversal schemes are replaced by metaprograms that produce first-order traversals. Those generated traversals are convenient to understand SYB in yet another way. Also, the generated code is efficient in that it uses no higher-order style (except for `map/3` and friends). The idea of traversal generation is inspired by similar work in the rewriting community [van den Brand et al. 2000]. It turns out that the generated code is also amenable to simple but effective type-driven optimizations that cannot be achieved for the higher-order schemes. This optimization effort is inspired by techniques of adaptive programming [Palsberg et al. 1997, Lieberherr et al. 2004].

### 4.1 Algebraic signatures

Ultimately, we want to generate the code of Fig. 1. To this end, we need an explicit definition of the signature of terms that we expect to traverse. We represent this signature as regular (though structurally constrained) predicates whose extension is the actual set of terms of interest:
If a sort should not be skipped, then we look up all clauses that define the sort; that is done by sort_to_clauses/2. All those clauses are processed one by one. Thus:

   generateT(Skip,Name,Sort,Cs0) :-
       member(Sort,Skip) ->
       Cs0 = [] ;
       sort_to_clauses(Sort,Cs1),
       map(generateT(Skip,Name),Cs1,Cs0).

Each clause of the signature has to be taken apart to retrieve the constructor symbol C, the variables Vars1 for the subterms, and the literals Lits that specify the types of the subterms. The head of the generated clause uses the term pattern at hand on both the argument and the result position; see the uses of unify below. The body of the clause is obtained by iteration over the literals where list_to_and/2 turns the resulting list into a conjunction. Thus:

   generateT__(Skip,Name,Clause,(Head:-Body)) :-
       sort_clause(Clause,Sort,C,Vars1,Lits),
       Head =.. [Name,X,Y],
       map(generateT__(Skip,Name),Vars1,Lits,Vars2,Gss),
       concat(Gss,Gs),
       list_to_and(Gs,Body),
       X =.. [C|Vars1],
       Y =.. [C|Vars2].

The literals from the type clauses are mapped to literals of the traversal clauses. We use unify again to compose recursive calls from appropriate variables and the always same Name. We have to cover a number of cases depending on whether we face a sort to be skipped and whether the literal is perhaps an invocation of map/2 for the case of a list-typed constructor argument. The latter variation is not covered below:

   generateT___(Skip,Name,Var1,Lit,Var2,Gs) :-
       Lit =.. [Sort,Var1],
       member(Sort,Skip) -> Var2 = Var1, Gs = []
       ;
       override(Var1,Gs,Vars2,Css),
       map(unsup,Gs),
       list_to_and(Gs,Body),
       X =.. [C|Vars1],
       Y =.. [C|Vars2].

4.3 Traversal customization

The generated traversal must be customized to incorporate problem-specific cases. We reuse the high road's traversal parameters. Thus:

   generateT(Name,Sort,Cs0) :-
       clauses(Name/2,Cs0),
       abolish(Name/2),
       generateT(Name,Sort,Cs1),
       override(Cs1,Cs2),
       map(assert,Cs2),
       compile_predicates(Name/2).

clauses/2 retrieves all clauses for a predicate indicator from the fact base. abolish/2 removes all clauses for a predicate indicator. override/2 is a metaprogramming predicate which overrides clauses in one set by clauses from another set (both represented at the term level). Overriding is based on the term pattern of the assumed argument position of the predicate at hand—details are omitted for brevity. (Of course, there is similar metaprogramming support for queries.)

4.4 Optimized traversal

The (possibly hand-written) code of Fig. 1 is actually clever enough to cut off some parts of the traversal that cannot be affected by the
transformation or contribute to the query result. That is, the code does not traverse into names (of persons and departments) because no salary terms are possibly contained in name terms. This insight can actually be implemented as an optimizing transformation. For each Candidate sort (among those reachable from the root sort), we determine whether it is 'skippable':

\[
\text{skippableSort}(	ext{Overridden}, 	ext{Candidate}) \leftarrow \\
\text{reachable_sorts}(\text{Candidate}, \text{Reachable}), \\
\text{intersection}(\text{Reachable}, \text{Overridden}, []). \\
\]

That is, given the set of sorts that are Overridden by the problem-specific cases, we can check for any given sort Candidate whether any of the overridden sorts can be reached from it. In our example, the sort name will be discovered as skippable. Overridden is determined by a simple type inference.

\[
\text{inferSort}(\text{Reachable}, \text{Clause}, \text{Sort}) \leftarrow \\
\text{clause_to_case}(\text{Clause}, \text{Term}1), \\
\text{function}(	ext{Term}1, \text{C}, \text{Arity}), \\
\text{findall}(\text{S}, \\
\text{member}(\text{S}, \text{Reachable}), \\
\text{sort_to_clauses}(\text{S}, \text{Clauses}), \\
\text{member}([\text{Head}:-\_], \text{Clauses}), \\
\text{Head} = \_\_\_\_\_\_, \\
\text{function}(\text{Term}2, \text{C}, \text{Arity}), \\
\text{[Sort]}). \\
\]

That is, we use \text{clause_to_case}/2 in order to extract the argument-term pattern Term1 and with it a specific constructor C from the (overriding) Clause at hand. Then, we query the fact base for the algebraic signatures to find a (unique) clause for C.

5. Epilogue

The high road to SYB in Prolog was long overdue. SYB is practically useful—as we can confirm on the grounds of applications in language processing. The high road also clearly demonstrates the relative fitness of the Prolog language for functional programming-like coding style—even though languages like Curry and Lambda-Prolog are superior in this respect—if one is willing to leave the grounds of (nearly) Standard Prolog.

The low road to SYB suggests important directions for future work. We have used a layman's approach to metaprogramming without any use of staging or hygienic generation. The question is how to set up a more reliable framework in Prolog (using input from [Taha and Sheard 1997, Jones and Glenstrup 2002, Calcagno et al. 2003, Smaragdakis 2004]) without though harming the seductive simplicity of our present approach. We would like to execute the high road's traversal schemes as generators on the low road and be able to show the correctness of any optimizations done. There is prior work on optimized traversal [Johann and Visser 2003, Cunha and Visser 2007] that should be integrated.

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